



# SUGAL & DAMANI SHARE BROKERS LIMITED

(Formerly known as Sugal & Damani Finlease Limited)

Regd Office : CITY CENTRE PLAZA, (I FLOOR), NO.7, ANNA SALAI, CHENNAI - 600 002

Phone : 044-28587105-108 Fax : 91-44-42155285

## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

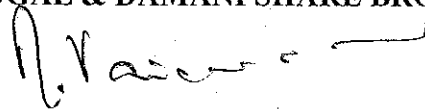
Name of the Company : SUGAL & DAMANI SHARE BROKERS LIMITED

Quarter ending on : 31<sup>st</sup> December, 2011

Particulars	Clause of Listing Agreement	Compliance Status Yes/No/N.A	Remarks
1	2	3	4
<b>I. Board of Directors</b>	49I		
(A) Composition of Board	49(IA)	Yes	Complied with
(B) Non-executive Directors' compensation & disclosures	49(IB)	Yes	Complied with
(C) Other Provisions as to Board and Committees	49(IC)	Yes	Complied with
(D) Code of Conduct	49(ID)	Yes	Complied with
<b>II. AUDIT Committee</b>	49(II)		
(A) Qualified & Independent Audit Committee	49(IIA)	Yes	Complied with
(B) Meeting of Audit Committee	49(IIB)	Yes	Complied with
(C) Powers of Audit Committee	49(IIC)	Yes	Complied with
(D) Role of Audit Committee	49(IID)	Yes	Complied with
(E) Review of Information by Audit Committee	49(IIE)	Yes	Complied with
<b>III. Subsidiary Companies</b>	49(III)	N.A	
<b>IV. Disclosures</b>	49(IV)		
(A) Basis of related party transactions	49(IVA)	Yes	Complied with
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	Complied with
(C) Board Disclosures	49 (IVC)	Yes	Complied with

(D) Proceeds from public issues, rights issues, preferential issues etc	49 (IVD)	N.A	
(E) Remuneration of Directors	49 (IVE)	Yes	Complied with in the Annual Report for 2010-2011. Will also be complied with in the Annual report for 2011-2012.
(F) Management	49 (IVF)	Yes	Complied with in the Annual Report for 2010-2011. Will also be complied with in the Annual report for 2011-2012.
(G) Shareholders	49 (IVG)	Yes	Complied with in the Annual Report for 2010-2011. Will also be complied with in the Annual report for 2011-2012.
<b>V. CEO/CFO Certification</b>	49(V)	Yes	Complied with in the Annual Report for 2010-2011. Will also be complied with in the Annual report for 2011-2012.
<b>VI. Report on Corporate Governance</b>	49(VI)	Yes	Complied with in the Annual Report for 2010-2011. Will also be complied with in the Annual report for 2011-2012.
<b>VII. Compliance</b>	49(VII)	Yes	Complied with in the Annual Report for 2010-2011. Will also be complied with in the Annual report for 2011-2012.

For SUGAL & DAMANI SHARE BROKERS LIMITED



(R.VAIDYANATHAN)

(COMPANY SECRETARY/COMPLIANCE OFFICER)

Place: Chennai  
Date: 02.01.2012